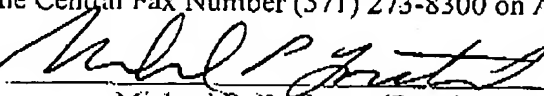


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Michael P. Fortkort (Reg. No. 35,141)

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

APPLICANT: Steven M.H. Wallman

SERIAL NO.: 10/644,891

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ART UNIT: 3692

TITLE: METHOD AND APPARATUS FOR PORTFOLIO TRADING USING MARGIN

ATTY DOCKET: 1061/6

VIA FACSIMILE TO CENTRAL FAX NUMBER (571) 273-8300

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

AMENDMENT AND RESPONSE

In response to the Office Action mailed January 2, 2009 the Applicant hereby submits the following amendments and remarks in the above-identified application.